

### Intelligent Epitaxy Technology, Inc.



# IntelliEPI Status Update 2Q/2015



#### 1250 E. Collins Blvd., Richardson, TX 75081, USA

2Q/2015

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### IntelliEPI: The Company

- A Texas semiconductor manufacturing company located in Richardson, TX, since January 1999.
- Founded by Dr. Yung-Chung Kao (TI), Dr. Paul Pinsukanjana (UCSB/JPL), Randy Thomason (TI), and Kevin Vargason (TI), combining experiences in electronics and optoelectronics. In 2001, Dr. J.M. Kuo (Lucent) joined
- A venture capital funded company (A-round: 1999; B-round: 2000)
- ISO 9001 certified since March 2007 (current ISO9001:2008)
- Listed on Taiwan GTSM exchange (Stock # 4971) under holding company registered at Cayman Islands (IPO on July 24, 2013)

IntelliEPI provides GaAs (up to 6in) and InP (up to 4in) MBE PHEMT and HBT epitaxial wafers to RF MMIC and wireless wafer fabs for *communications applications.* We also provide optoelectronics products (PIN/APD, IR detectors, lasers) and various III-V based industrial and energy-related products

# Intelli EPI Business Status Update (05/2015)

- Completed 16 years of operation (1999 ); profitable position since 2007
- 2015 projection: \$25-27M, 2014 sales: \$21.6M, 2013: \$19.5M
- 2014 product mix:
  - GaAs: 47%, InP: 31%, Opto (PIN, APD, VCSEL, GaSb IR detector): 22%
  - Top 3 products: GaAs pHEMTs, InP-based HBT/HEMTs, Sb-based IR detectors
- 2015 product mix projection: GaAs (50%); InP (24%); GaSb (26%)
- 2014 notables:
  - Acquired Soitec and Skyworks GaAs MBE business & equipment (10 MBEs)
  - Establish Arapaho back-up site for GaAs/InP/GaSb MBE (3 MBEs)
  - Completed issuance of US\$10M convertible bond for plant expansion
  - Acquired land in North Texas area for future expansion
- 2015 focus:
  - Set up a 60K ft2 new site to consolidate current operations at 3 different sites besides back-up site
  - Install 4 MBE systems at Collins and Arapaho sites for GaAs, InP, GaSb growth
  - Develop HB CdZnTe crystal growth and InSb crystal growth
  - Set up 3in to 6in GaAs and InP re-polishing capability at IET/C

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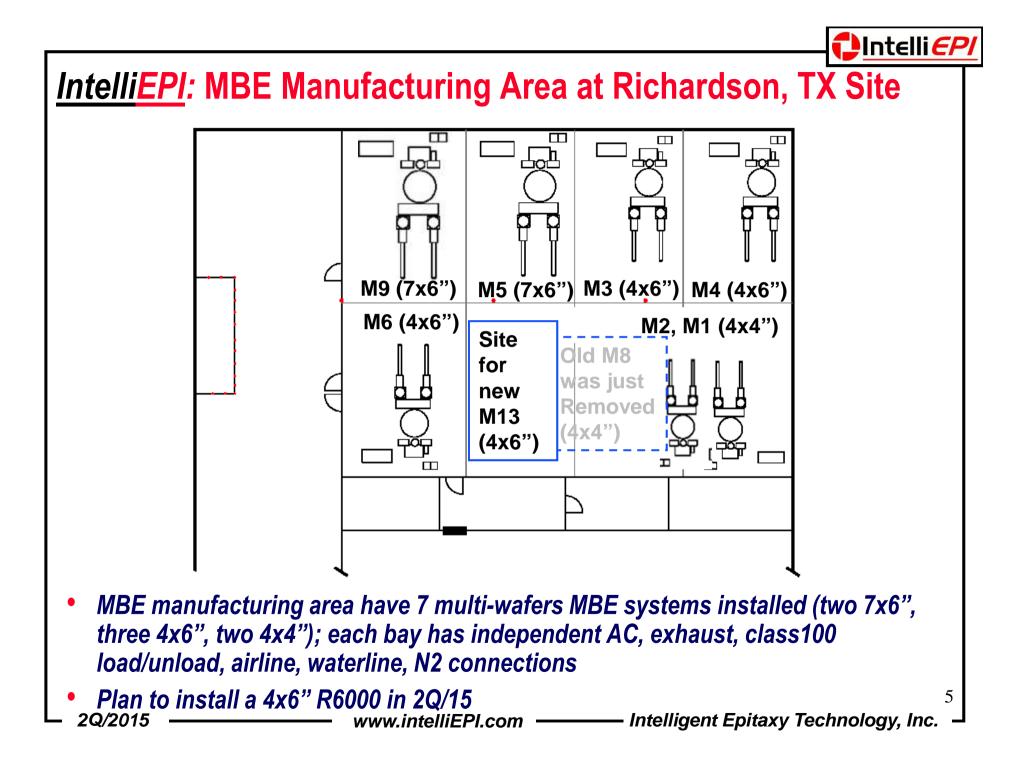
# Intelli*EPI*: Facility at Richardson, Texas



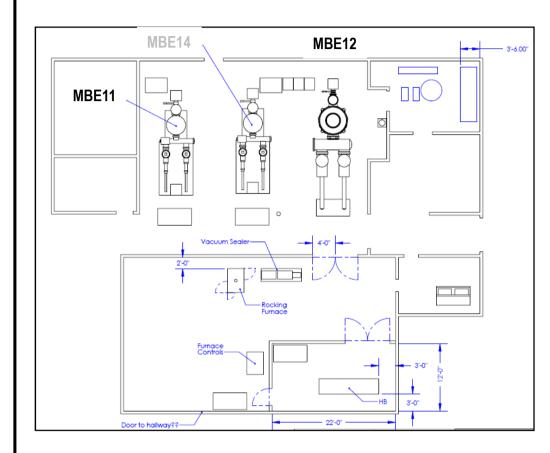
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Current facility since January 2002: 1250 E. Collins, Richardson, TX (Dallas suburb)

- 23,000 ft2 (production: 13,000 ft2; Office: 10,000 ft2); set up of 8 production MBEs
- Clean room for post growth testing and LAD processing
- 48 full time employees in Texas
- 2<sup>nd</sup> site: 8,500 ft2 facility in Allen, TX (10 miles north)
- 3<sup>rd</sup> site: 4,000 ft2 Arapaho Rd, Richardson (3 production MBEs installed or in installation)
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# IntelliEPI: Offsite Back-up MBE at Arapaho Site (2014)







- IET/Arapaho site ready by 3Q/2014
- MBE11 system in operation; MBE12 installations in progress
- Plan to convert a MBE49 for GaN pHEMT growth due to customer demands

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### Intelli*EPI*: III-V Compound Semiconductor Product Matrix

	RF and microwave	High Speed Digital	Optoelectronics
Applications	<ul> <li>RF components in handsets</li> <li>Automotive radar</li> <li>Defense related</li> </ul>	<ul> <li>OC768- 40Gbps network</li> <li>OC192-10Gbps network</li> </ul>	<ul> <li>Fiber optic network light sources and Photo-detectors</li> </ul>
Device Structure (Red in Production mode)	<ul> <li>GaAs pHEMT</li> <li>GaAs mHEMT</li> <li>InP HEMT</li> <li>InP HBT</li> </ul>	<ul> <li>InP SHBT/DHBT</li> <li>InP HEMT</li> <li>GaAs mHEMT</li> <li>GaAsSb DHBT</li> </ul>	<ul> <li>GaAs PIN/APD</li> <li>InP PIN/APD</li> <li>QWIP</li> <li>Diode laser</li> <li>Type-II SLS</li> <li>Modulator</li> </ul>

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# Intelli*EPI*: <u>6in</u> Capacity Analysis and Expansion (pHEMT)

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	Current Capacity 24x7 (18 pHEMT runs/day)	2014 Capacity for pHEMT (24X7, 18 runs)
4X6"MBE3(As,P)	1650 pHEMT/mo	1650 pHEMT/mo
4X6"MBE4(As)	1650 pHEMT/mo	1650 pHEMT/mo
7X6"MBE5(As)	2900 pHEMT/mo	2900 pHEMT/mo
4X6"MBE6(As,P)	1650 pHEMT/mo	1650 pHEMT/mo
7x6"MBE9(As)	2900 pHEMT/mo	2900 pHEMT/mo
4x6" MBE13 (As)*		1650 pHEMT/mo
For pHEMTs	11000 pHEMTs	12500 pHEMTs/mo

- Based on 85% machine uptime, and 90% yield under 24/7 operation – Typical production MBE campaign PM turn-around time: 4-6 weeks.
- Currently, 3 MBEs have Sb capability: 4x4" (MBE1, MBE2, MBE11); another 7x5" Riber6000 MBE is in installation (industrial first 5/6in multi-wafer MBE)
- 5 P-containing MBE systems: 4x4" (MBE2, MBE11); 9x4" (MBE3, MBE6, MBE13)
- By 3Q/15, we should have 11 installed MBE system and 30% capacity increase

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#### IntelliEPI: MBE Facility in Collins Blvd., Richardson, Texas



#### • 8 installed MBE reactors:

- 2 Riber 7000 (7x6", 14x4")
- 3 Riber 6000 (4x6", 9x4", 15x3")
- 2 Riber 49, 1 Riber V100 (4x4", 6x3")
- Mobile partitions allow MBE operation & maintenance at the same time
- Dedicated operation and cleaning facilities designed to handle phosphorous for all MBE systems



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# IntelliEPI: Multi-Wafer Production MBE Platen Design

Capacity for production reactors Riber7000: 7x6" 14x4" 25x3" Riber6000: 4x6" 9x4" 15x3" Riber 49: 4x4" 6x3" 11x2"



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Riber7000: 7x6" MBE reactor

#### Experienced with product transition:

- Development to production on multi-wafer MBE systems
- Reactor & substrate size scaling: mainly support from 2" to 6" size substrates (1", 200mm, and 300mm are also supported)

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#### **Characterization Facility**





• Class 100 clean room: (2000 ft<sup>2</sup>)

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- Characterization tools:
  - X-ray diffraction
  - PL mapping
  - Surface particle scan
  - Hall measurement
  - Contactless resistivity mapping
  - Electro-chemical CV profiling
  - White light reflection spectrometer
  - Electrical CV profiling
  - Mercury probe CV
  - Parameter analyzer
  - 77K FT-IR PL
  - 77K Dark I-V
  - Wire bonder
  - Wafer flatness measurement
  - Variable temperature cryostat

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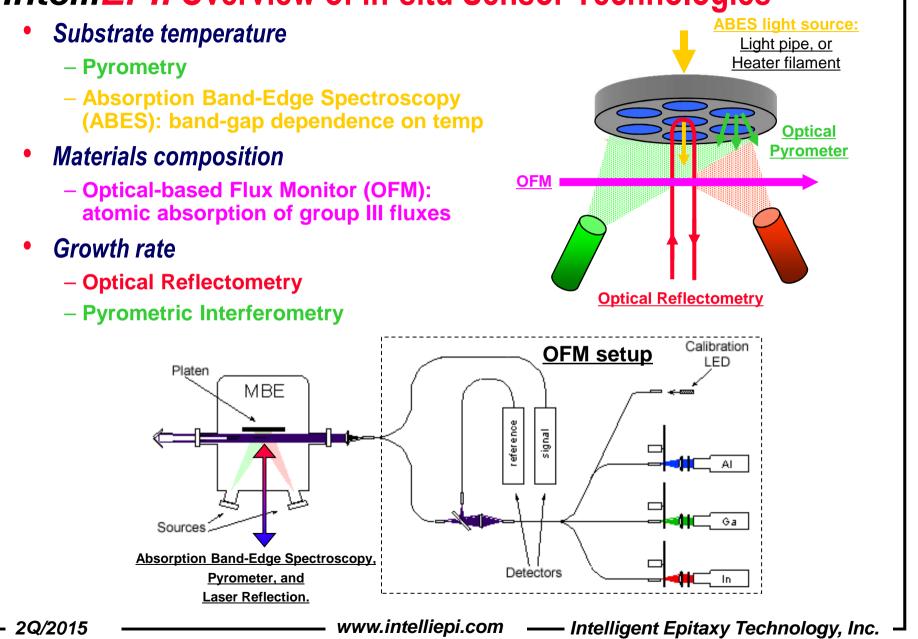
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#### **IntelliEPI:** Production Real-Time Sensors

- Run-to-run reproducibility:
  - Maintaining critical specification ranges
  - Verification of growth process details (condition and layers)
- Limitations of ex-situ characterization:
  - Slow post-growth feedback
  - Additional wafer handling and cost
  - Limited information about growth condition profile vs. epi-depth
- New product development:
  - Faster development cycle time
  - Improved performance for more demanding specifications
- Bad run detection/correction/termination:
  - Loss of wafers: very expensive for larger systems and for InP
  - -Wasted machine time, materials, & operating expenses

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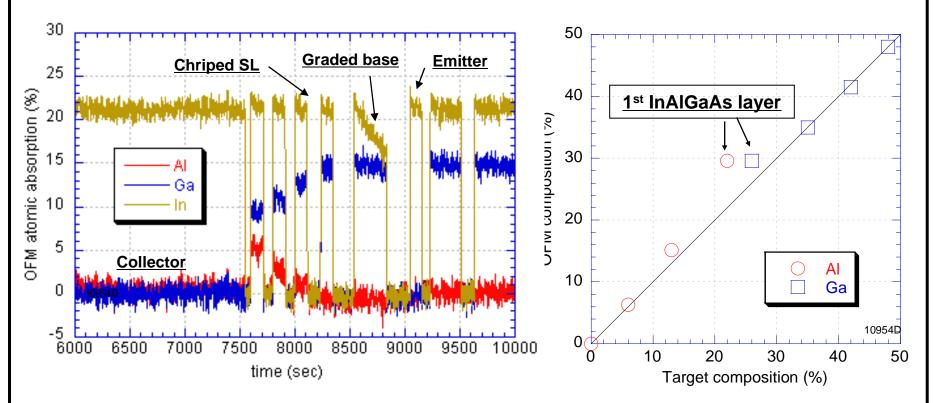
#### IntelliEPI: Overview of in-situ Sensor Technologies



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### IntelliEPI: InAlGaAs In Situ Composition Monitoring

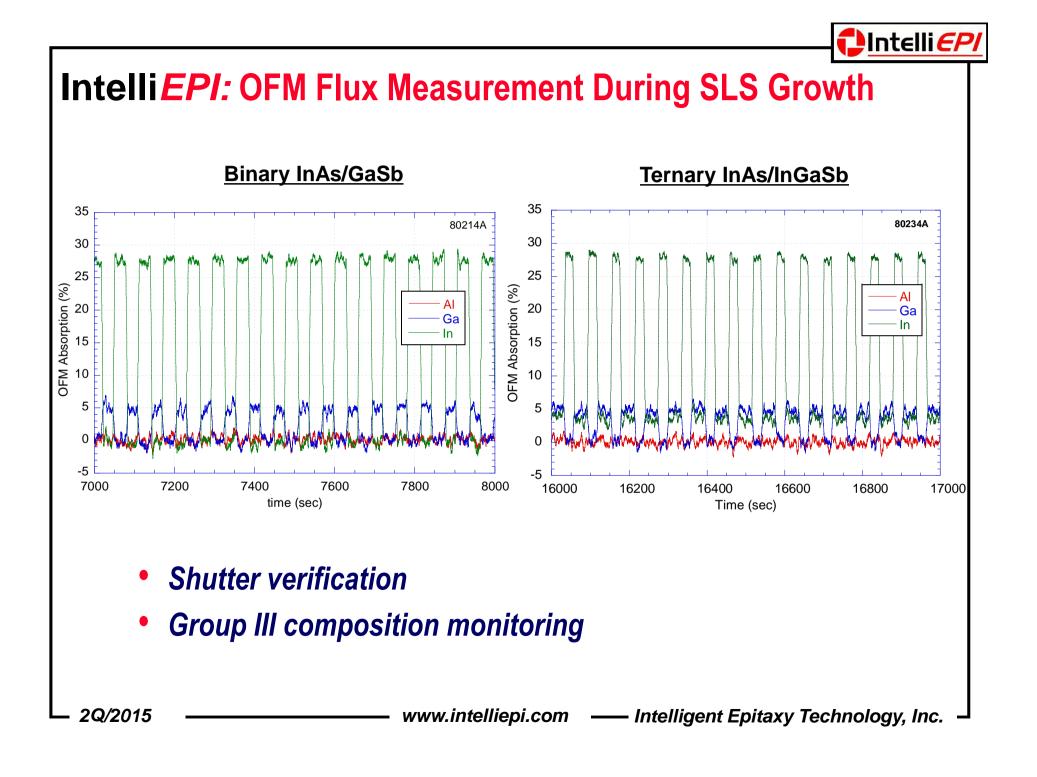


- Quantitative composition measurement of AI, Ga, and In of each InAlGaAs layer (10nm) of superlattice (SL)
- Al and Ga composition slightly higher in the first layer: growth transient
- C-doped graded InGaAs base composition change clearly shown by OFM

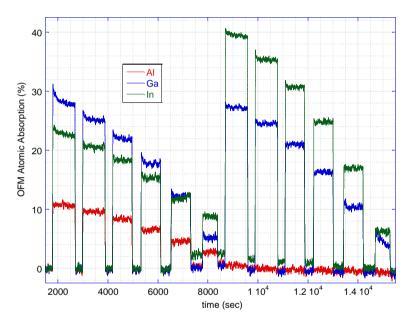
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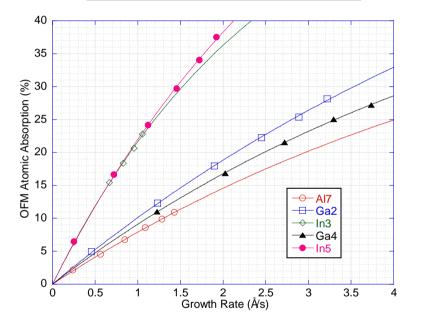
#### Intelli*EPI:* OFM Calibration Development



#### Atomic absorption profile during test run

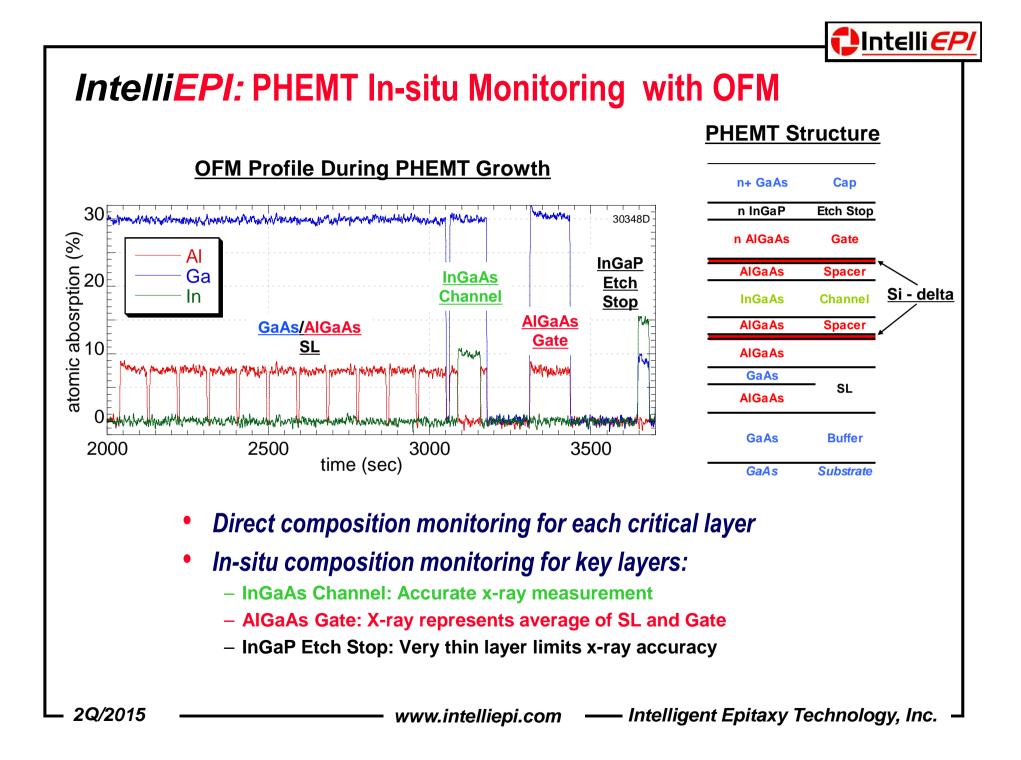
#### **OFM flux calibration model**

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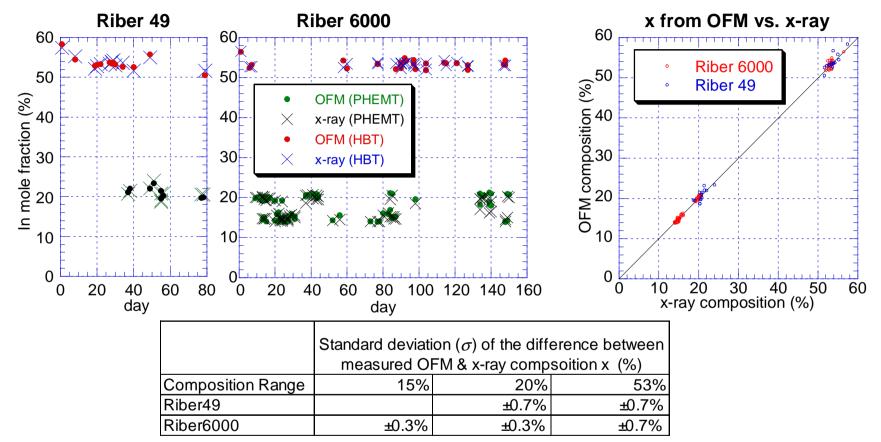


- Measure group III flux profile in real-time during growth
- Quantitative measurement of growth rate and composition

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### IntelliEPI: Comparison of OFM to x-ray for In<sub>x</sub>Ga<sub>1-x</sub>As

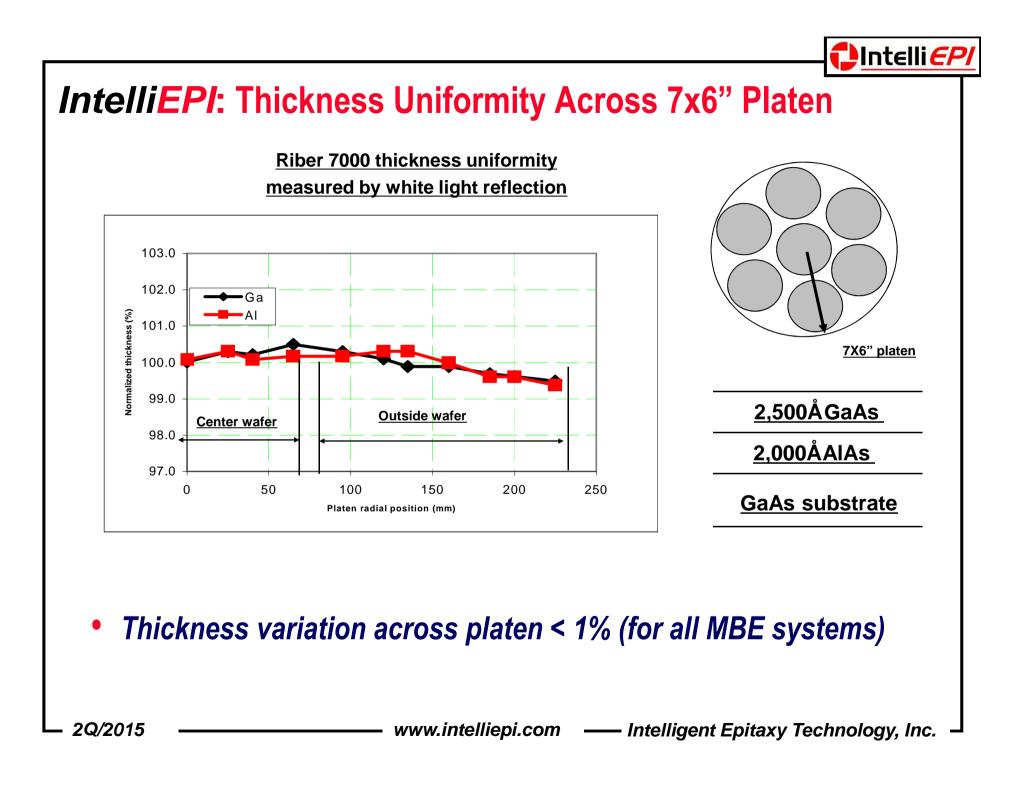


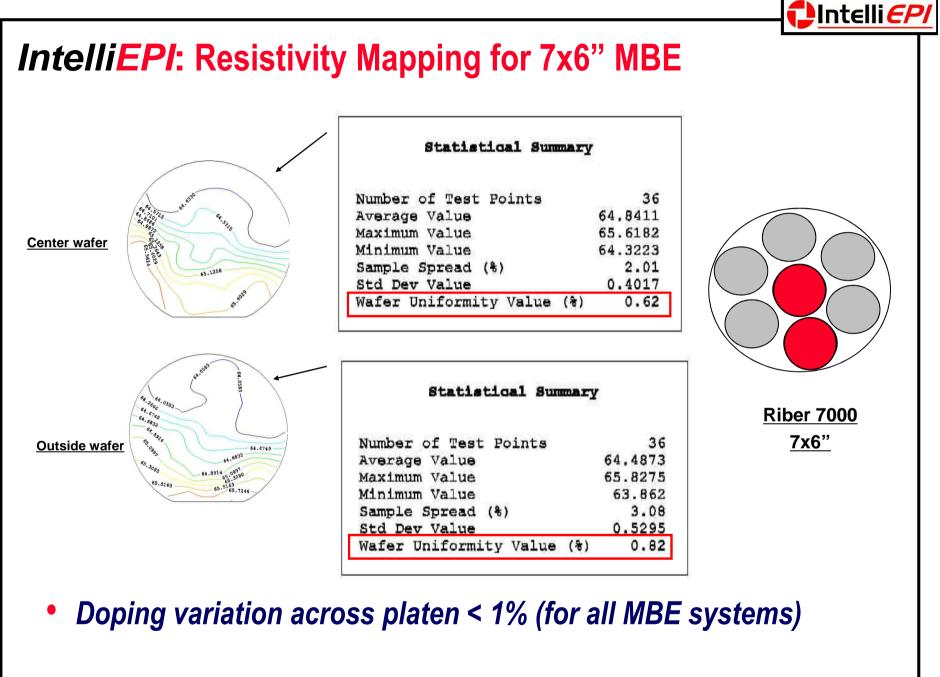
- Relative absorption sensitivity factor for each range of x is kept fixed.
- Data up to 5 months span: σ(x<sub>OFM</sub> x<sub>x-ray</sub>) is 0.7% or better for all ranges of x, In mole fraction (%).

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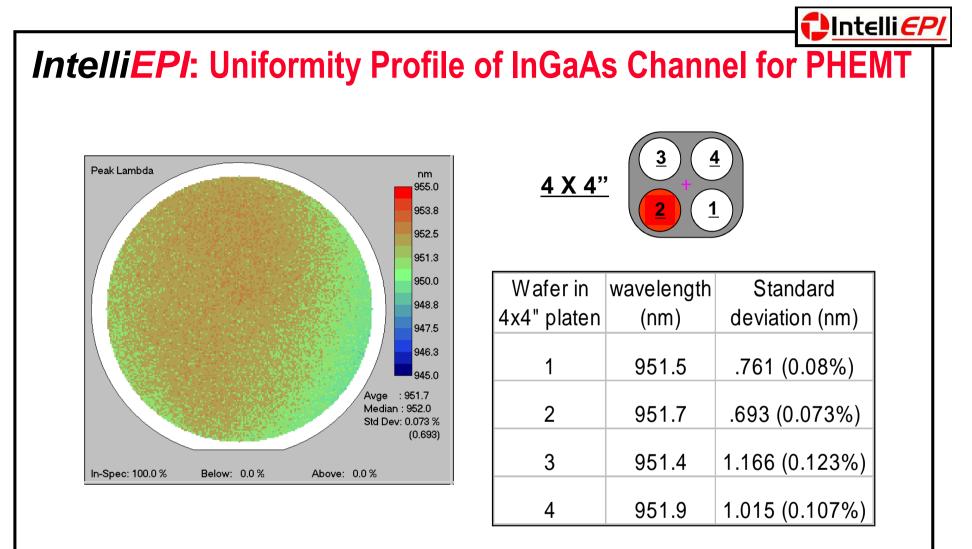
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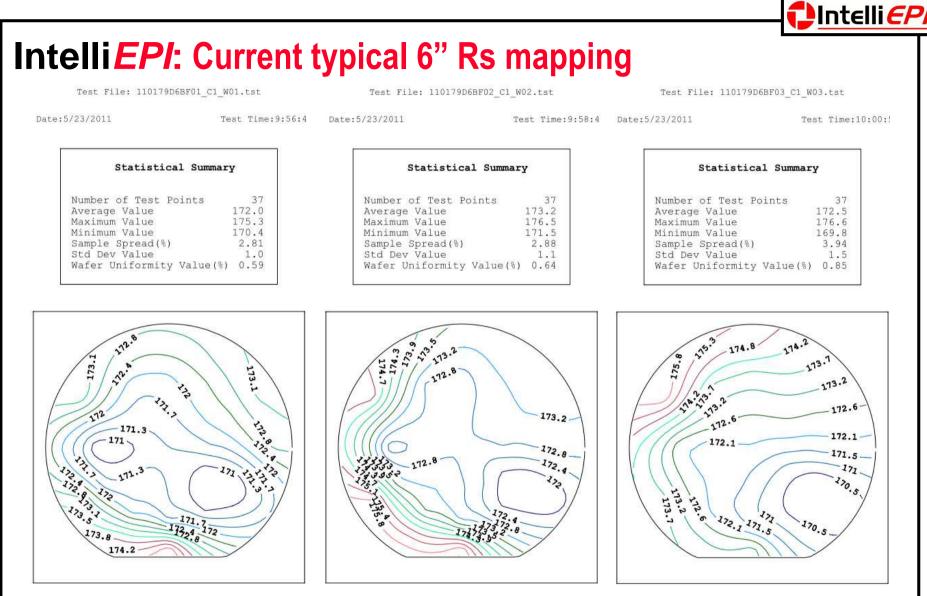


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- PL mapping of InGaAs QW on 4" GaAs
- PL peak wavelength within +/- 1 nm → Composition variation < 0.1 atomic %</li>

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 Rs mapping pattern improves significantly due to mainly better heater, platen, and cells design

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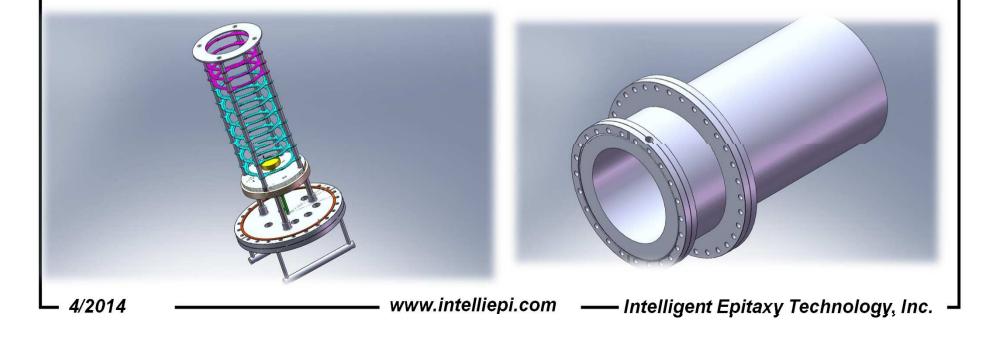
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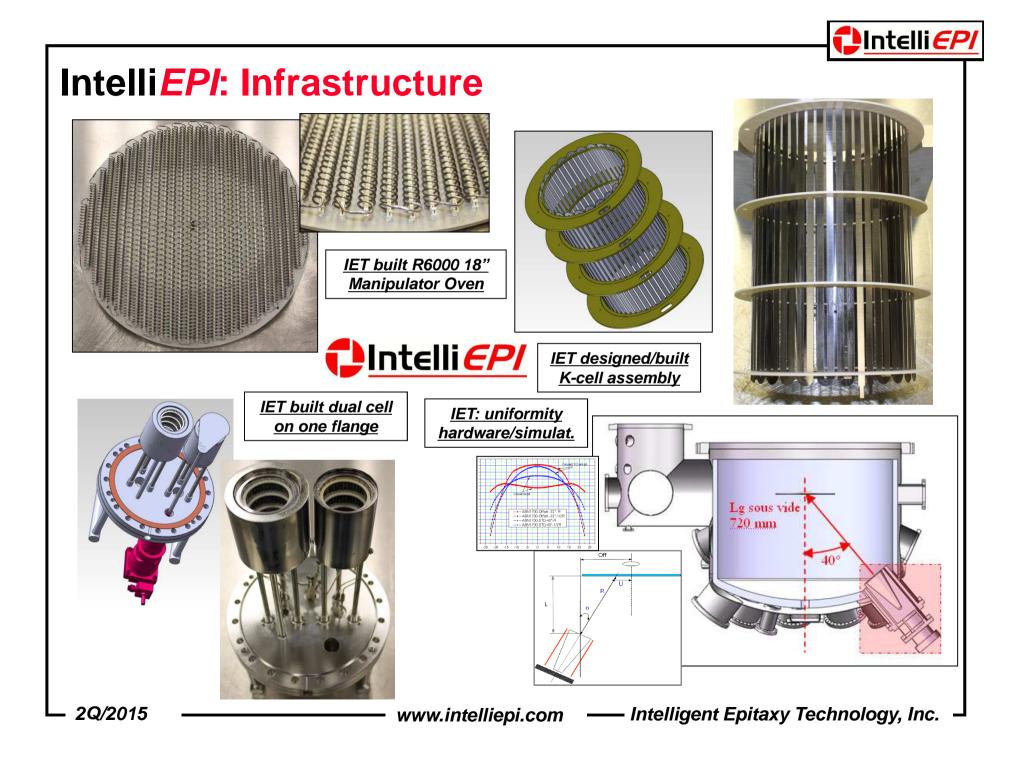
#### IntelliEPI Infrastructure 2: Cell Development

Production MBE system used for high volume operation has to be low cost and highly reliable. R&M replacements of key components are required due to heavy cycling under elevated temperatures.

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- IntelliEPI is 100% hardware independent from MBE vendor for R&M
- IntelliEPI has established a self-sufficient K-cell design (50% more capacity than SUMO cells), manufacturing, and overhaul capability since 2008. Currently, all cells have been exclusively constructed and maintained in-house.









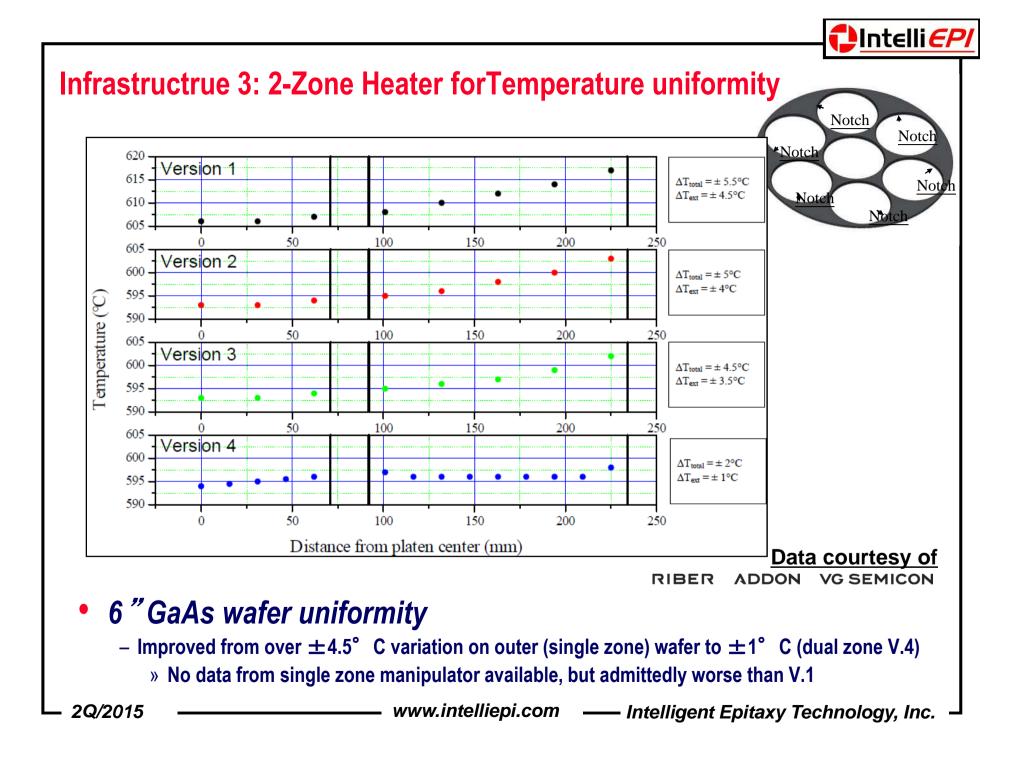


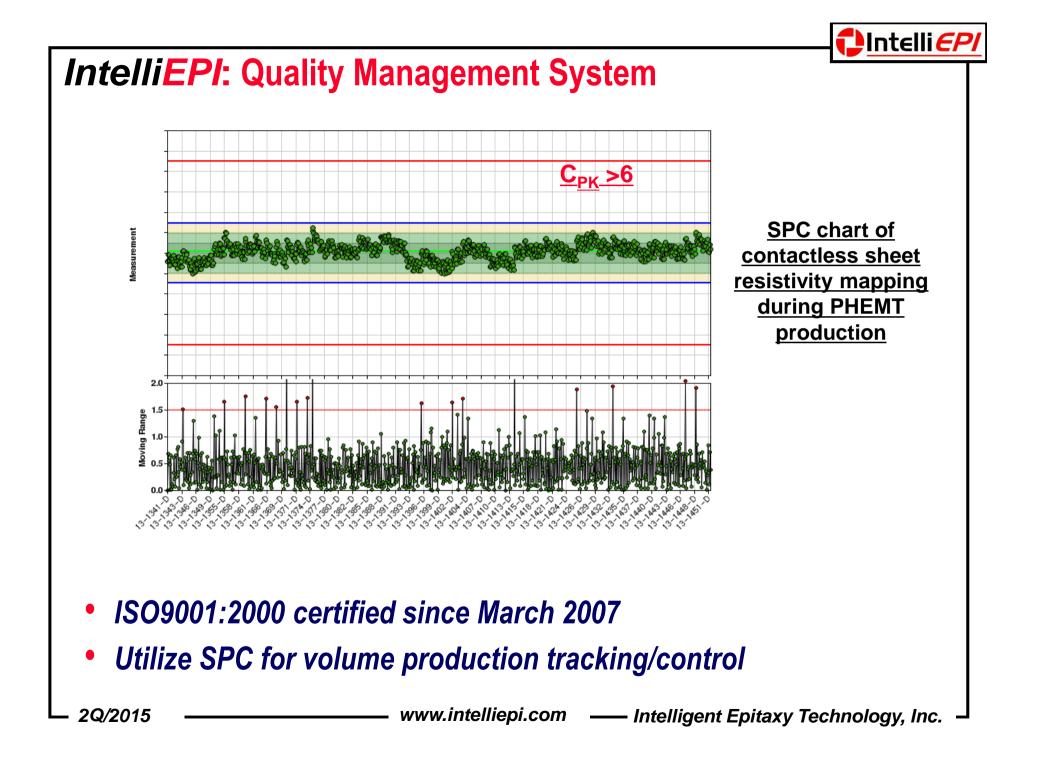


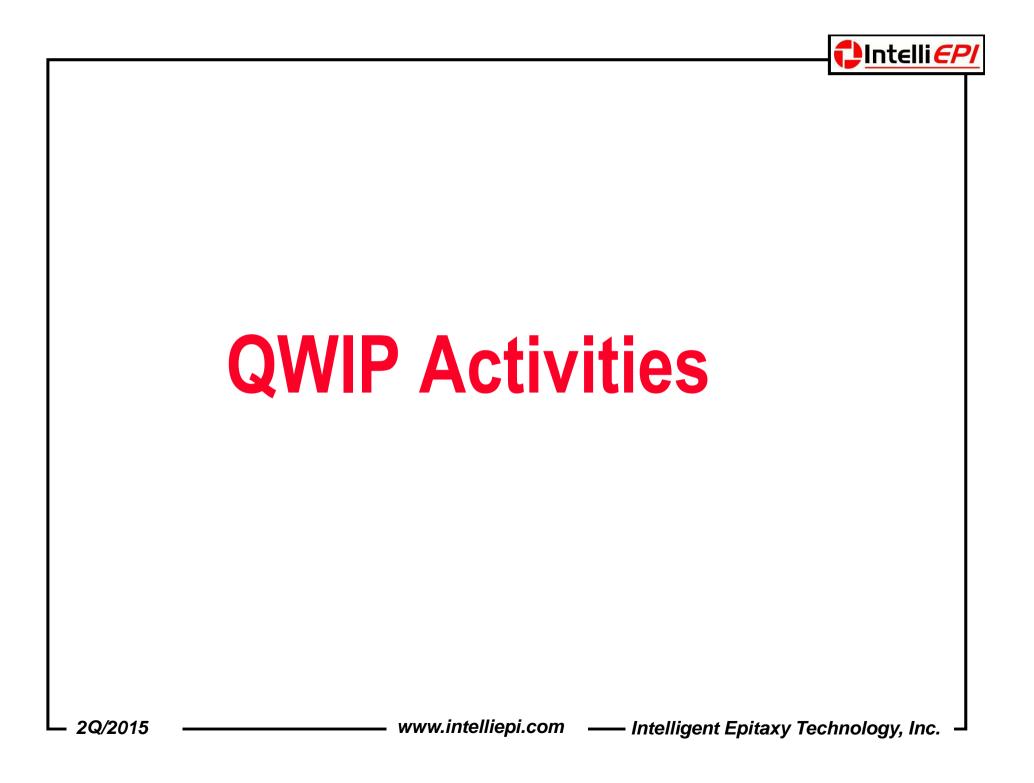
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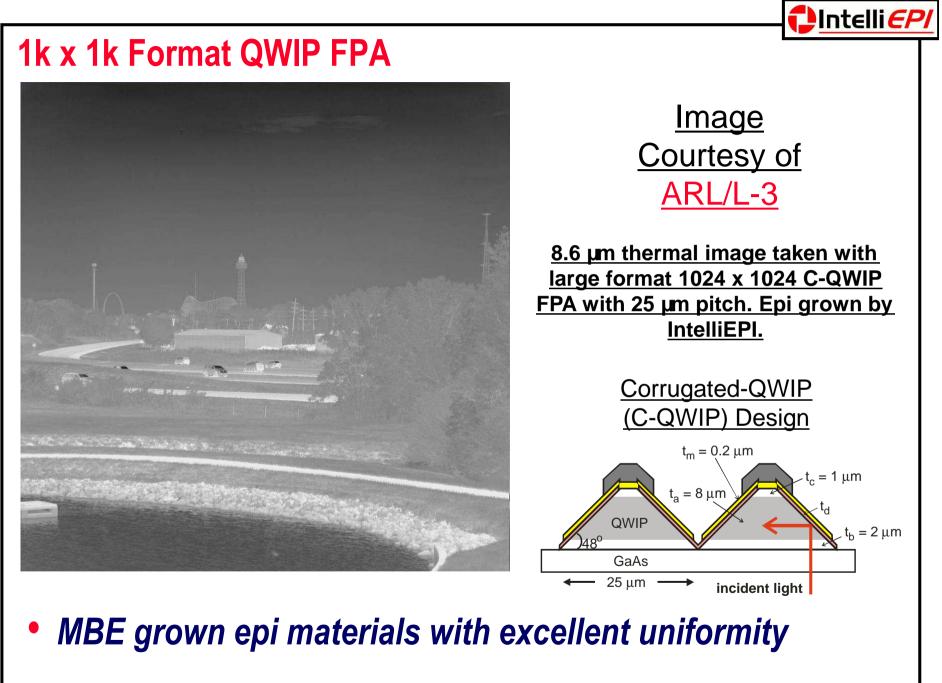
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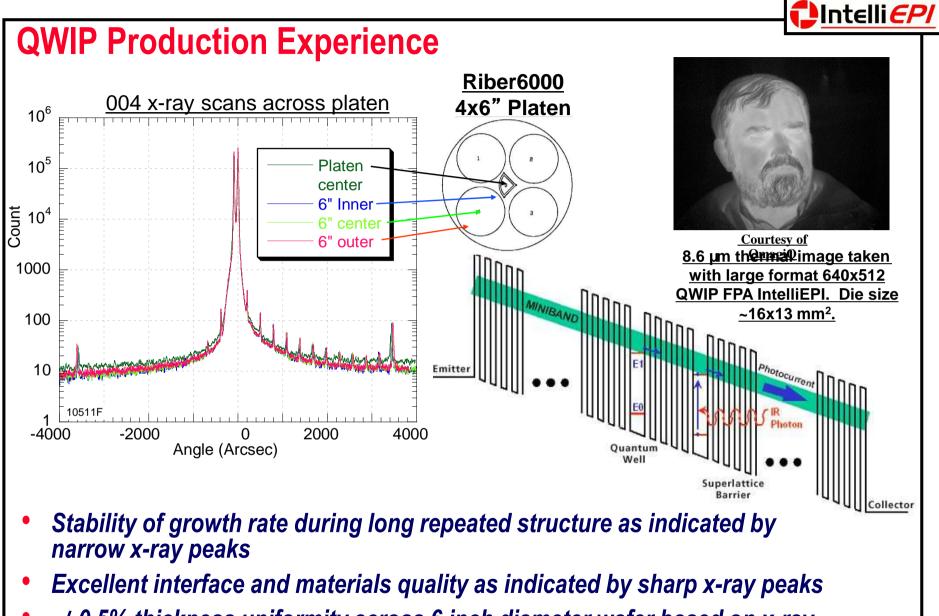






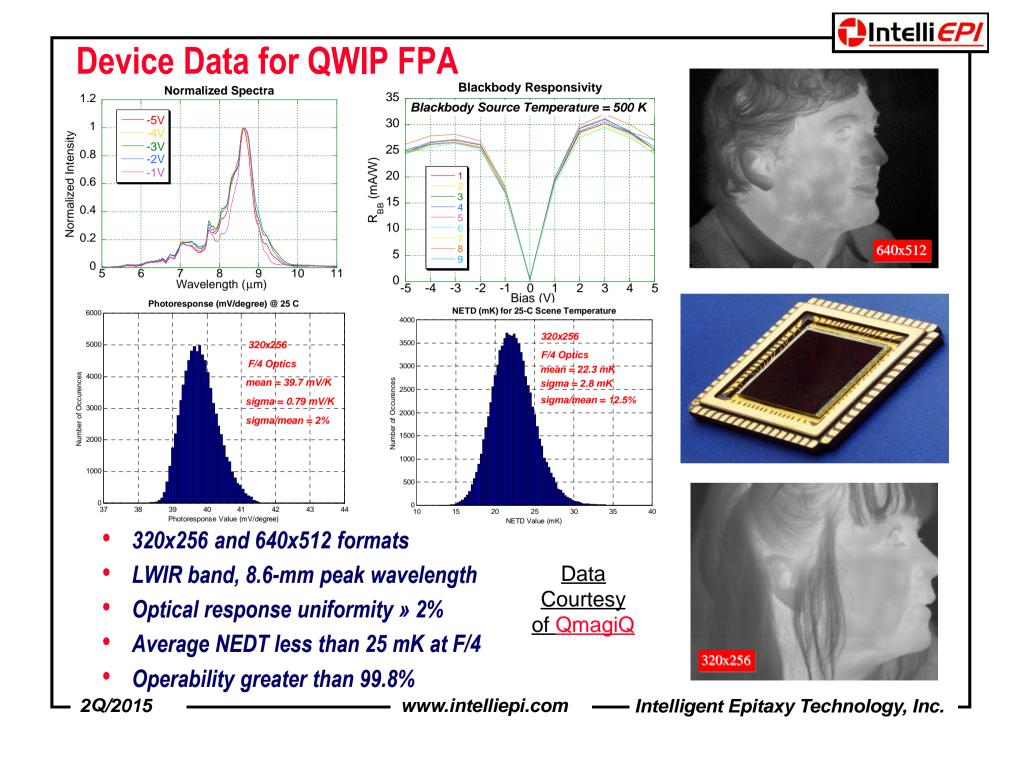
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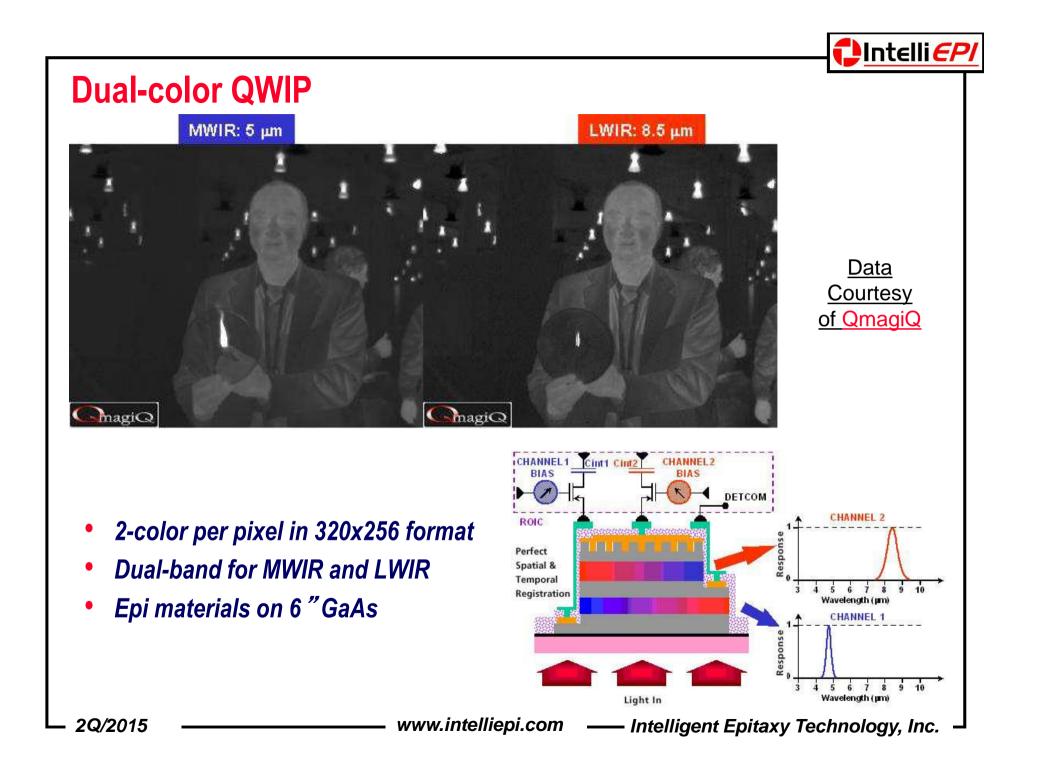
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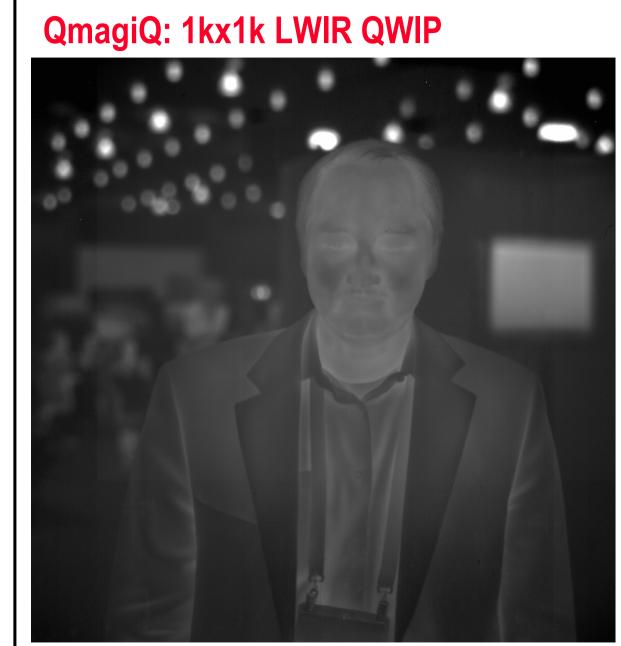


- $\pm 0.5\%$  thickness uniformity across 6 inch diameter wafer based on x-ray
- Achieved 100% pixel yield with 320x256 format FPA

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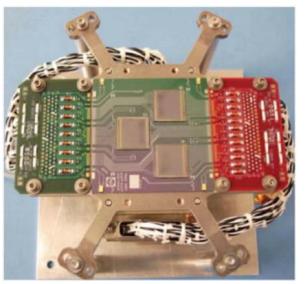
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 QmagiQ LWIR QWIP Camera demo at SPIE DSS 2010 exhibit

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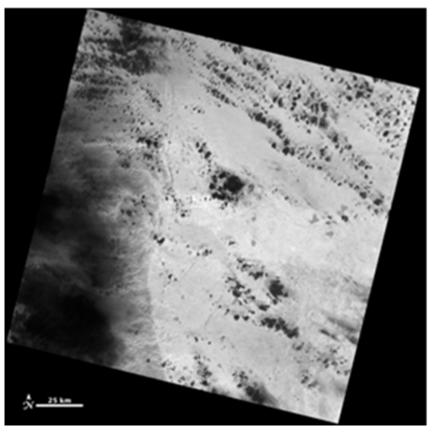
#### TIRS Instrument on LDCM Satellite Uses IntelliEPI QWIP



Landsat Data Continuity Mission (LDCM) satellite with Thermal Infrared Sensor (TIRS) instrument successfully launched on Monday (2/11/2013). The ITRS instrument uses QWIP epi produced by IntelliEPI as the IR detector materials. This satellite is a continuation of the Landsat satellite series. It will be renamed Landsat 8 once in operation. The TIRS instrument has 3 QWIP FPAs which are staggered in the center of the instrument. The FPAs selected for this mission was fabricated by QmaigQ The TIRS instrument covers two using our epi. spectral bands:

Band 10 TIRS 1 (10.3 - 11.3 µm) Band 11 TIRS 2 (11.5 - 12.5 µm)

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First thermal image taken by TIRS Instrument.

IntelliEPI now space qualified

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# **Sb-capable Production MBE for SLS**

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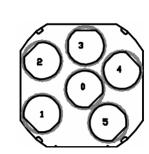
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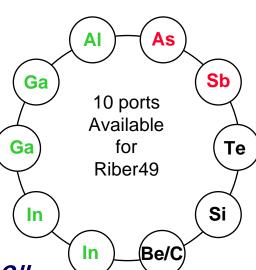


# Sb-based Multi-wafer Production MBE Capabilities

MBE-1: Riber 49 multi-wafer MBE

- Source configuration:
  - -Group III: 2 Ga, 2 In, & 1 AI
  - -Group V: As, Sb
  - -n-dopant: Si, GaTe
  - -p-dopant: Be/CBr4





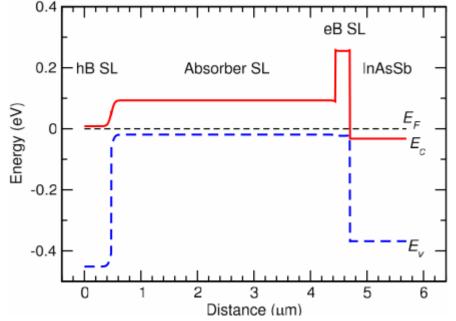
**Cell Configuration** 

- *Platen: 11x2", 6x3", 4x4", and up to 1x8" MBE-8: V100 multi-wafer MBE*
- 10 source port: 2 Ga, 2 In, AI, As, Sb, Si, GaTe, & Be
- Platen: 7x2", 6x3", 4x4", and up to 1x8"

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# InAs-GaSb SLS CBIRD layer structure

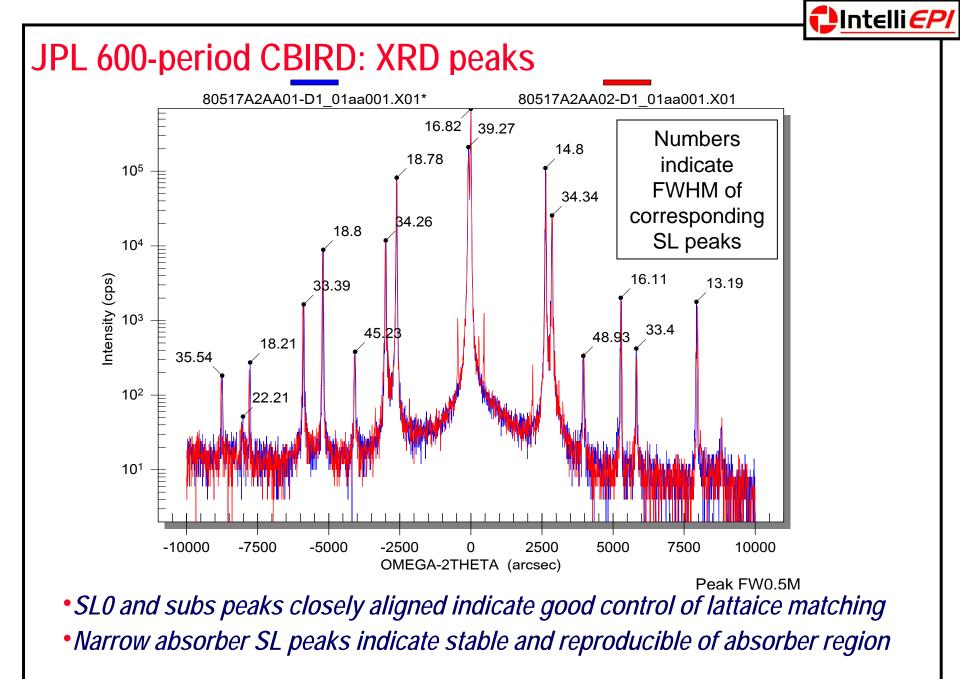


Layer	Comment	Repeat	Material	Thickness (Å)	type	
10	cap		GaSb	50		
9	Superlattice 1 80		InAs	46	n	
8	Caponadioo	00	AISb	12		
7	Superlattice 2	300 /	InAs	44	р	
6		600	GaSb	21	Р	
5	Superlattice 3	80	InAs	22	р	
4			GaSb	21		
3	Bottom Contact		InAs(x)Sb(1-x)	13000	n+	
2	Buffer		GaSb	3000		
1	Dry etch stop		AI(x)Ga(1-x)As(y)Sb(1-y)	500		
	SUBSTRATE*		n-GaSb			

- *Complementary Barrier Infrared Detector (Ting, et al. Appl. Phys. Lett. 95, 023508 2009)*
- Absorber is clad with selective barriers

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# QmagiQ 1K x 1K LW SLS FPA





QmagiQ SLS 1kx1k LW SLS camera.

QE: >40% **Operating at 77K** ∧(cut-off) ~ 9-10 um **Pixel operability: >98%** 

Thermal image taken at 2012 SPIE DSS Conf. in Baltimore, MD (April 2012).

QmagiQ demonstrated high quality FPA utilizing IntelliEPI grown SLS materials

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# **QmagiQ 2nd Generation 1K x 1K LW SLS FPA**



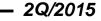
Installed in 1kx1k FLIR's InSb camera **Operating at 77K** ∧(cut-off) ~ 9-10 um

Thermal image taken at 2012 SPIE DSS Conf. in Baltimore, MD (April 2012).

*This SLS camera was on display by FLIR at 2013 SPIE DSS Conference in Baltimore MD* 

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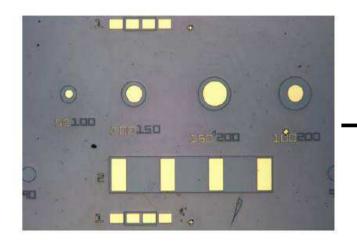
# Detector Characterization Capabilities

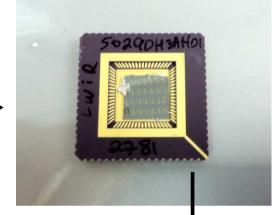


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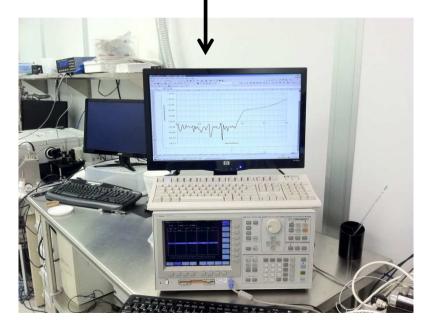
# Large Area Device Processing for IR Detector



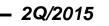


#### Test device capabilities

- Device processing
- Device packaging and wire bonding
- Dark-IV, spectral response and turn-on bias measurements (77K, room temp, and variable temp)



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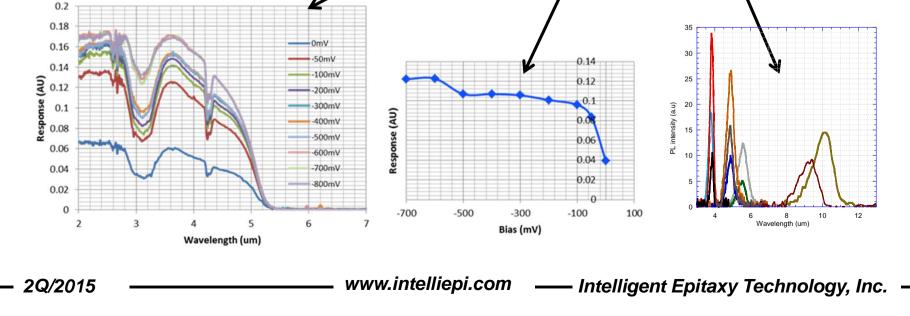


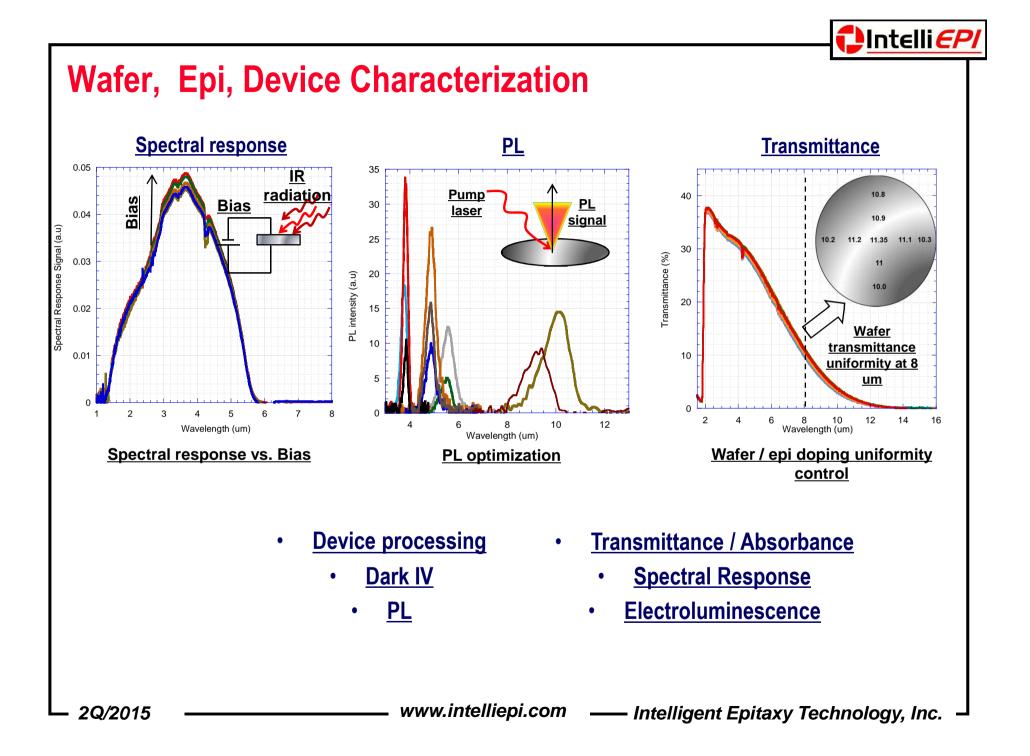
# **FTIR for IR Detector Characterization**

#### **FTIR**

- Room temp transmission
- Spectral response (77K, room temp, &variable temp)
- Turn-on bias measurements (77K & Room Temp)
- Photoluminescence (77K & Room Temp)

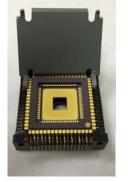




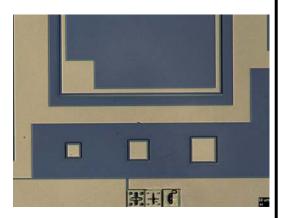


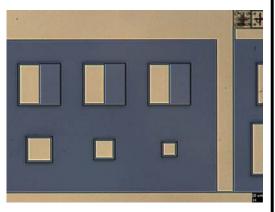
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# In-house Characterization Capabilities for SLS Detector



	Turn around
Technique	time
Surface Scan	same day
X-ray	same day
77K FT-IR PL	same day
Nomarski Microscope image	same day
Zygo flatness map	same day
AFM	1 day
Large Area Device (LAD) processing	1-2 days
Wire bonding	same day
77K Dark I-V	same day
77K Spectral response	same day
Variable temperature dark current	1 day

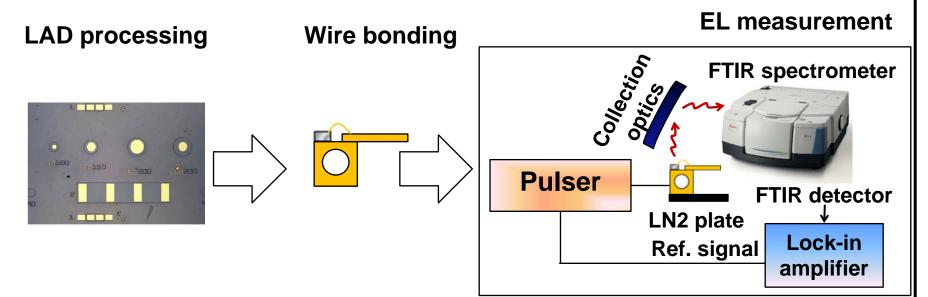




Established in-house quick turn device characterization capabilities

- *Typical turn for device processing < 1 day*
- Hot lot 1 day quick turn possible through 77K dark I-V and spectral response possible 2Q/201

# Electroluminescence (EL) Measurement Setup (For Laser)

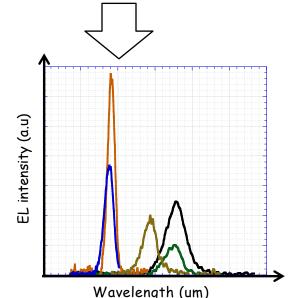


#### Electroluminescence processing

- Epi-wafer is processed into Large Area Device (LAD)
- LAD is wire-bonded on a c-mount (or other mount)

Electroluminescence measurements:

- Device measured under pulse mode
- EL spectrum measured with FTIR
- Measurement temperature: Room temp or 77K



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# Intelli EPI: Infrared Materials Characterization

- IntelliEPI 300K characterization
  - Wafer level tests
    - » Surfscan, x-ray, visible to near IR PL
  - Device level test
    - » Fabrication (Lithography, etch, metallization

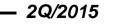
## IntelliEPI quick-turn 77K characterization

- **PL**
- Dark current
- Spectral response
- FTIR Spectrometer
  - Measurement range 1 15 µm
- Epi Materials can be specified by:
  - Structure Design Target
    - » X-ray model fitting
  - Wavelength Design Target
    - » 77K PL target, etc

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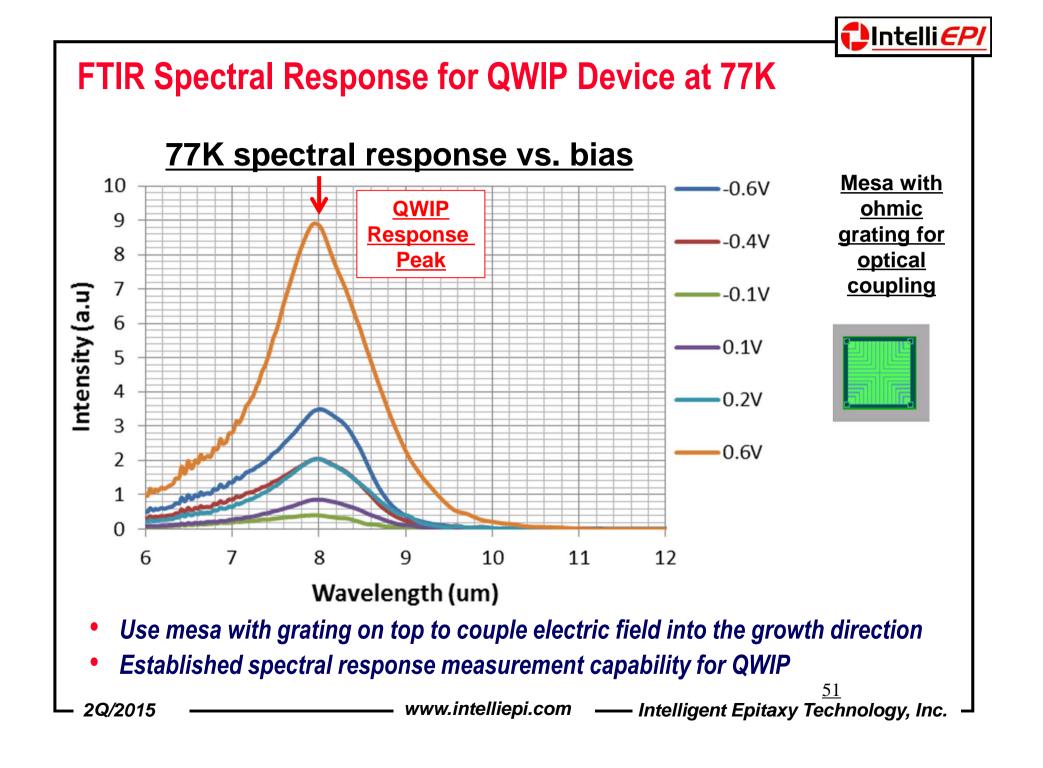
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# GaSb Wafer Manufacturing Capability

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### LEC Crystal Puller for Large Diameter GaSb

#### LEC puller

- 10 inch diameter crucible capable of pulling > 6 inch diameter boule
- Installed and operational since 2012



Crystal Puller B at Texas Facility

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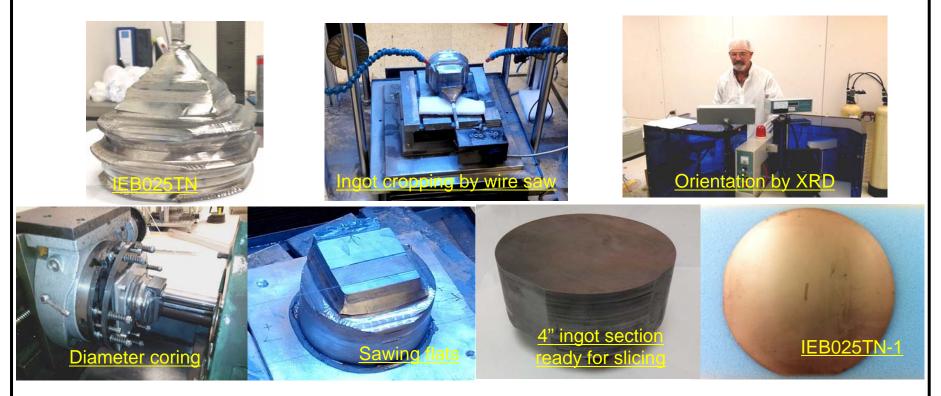
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# Crystal Boule Processing Tool for Wafer Slice Manufacturing

Tools for post growth wafer manufacturing

- Single wire saw: 1) ingot cropping; 2) flat sawing; and 3) wafer slicing
- X-ray goniometer: 1) to orient crystals for coring; 2) to zero in mis-cut for wafer slicing; and 3) to determine mis-cut of as sawn wafers
- Diameter coring: to produce sections with diameter from 2" to 6"



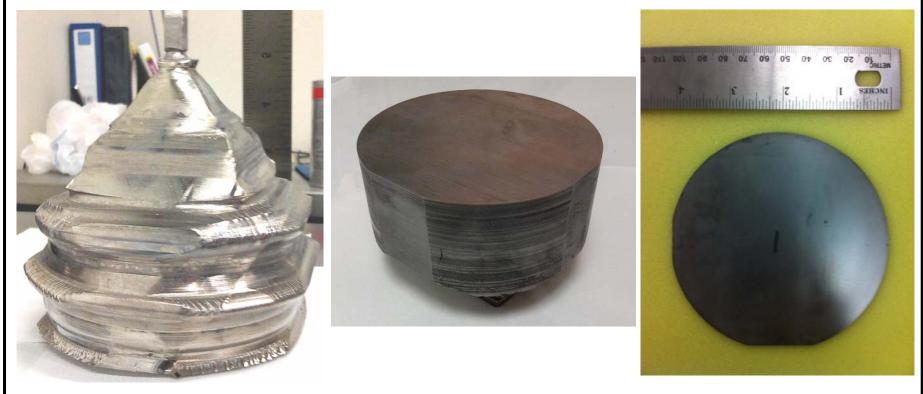
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# Ingot Processing at IntelliEPI Allen TX Facility

- Te doped GaSb boule grown at IntelliEPI Allen TX facility
- Boule cored to 4-inch cylinder with major and minor flat saw cuts
- Rough cut wafer slices for polishing



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# FY12 Task #2.2 Large Diameter Crystal Pulling Crystal #36 with IET Puller B (IEB036T)

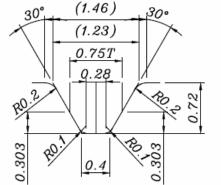


	Crystal ID (Date)	Largest Diameter	Shoulder Length	Body Length	Potential # Substrates	Comments
	<b>IEB036T</b> (10/4/13)	170 mm 6.7″	20 mm	55 mm	(30) 6" (15) 5"	Repeated IEB034T crystal pulling growth condition. Targeted mid16/cm <sup>3</sup> Te doping. Ingot in queue for post growth downstream processing.
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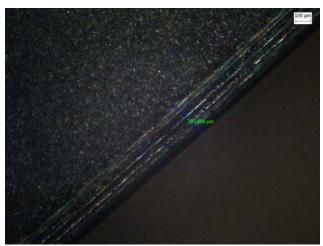
# Wafer Edge Grinding Tool

- Two tools are available: Automatic tool and manual tool
- Current diamond wheel is designed to produce edge profile with 30° bevel angle and 400 μm edge thickness
- Demonstrated smooth edge on IEB025TN wafers









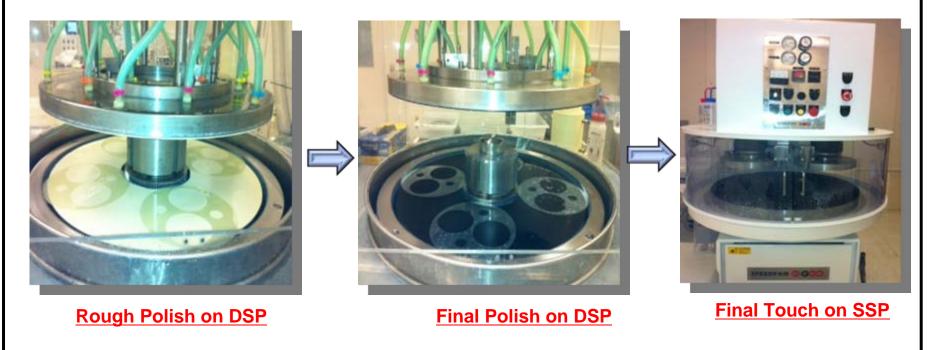
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# All DSP + Final Touch in SSP

- All DSP produces excellent flatness, but gives incomplete polish.
- SSP allows wider operating range in speed and pressure for surface optimization to reduce roughness.
- *Re-introduced a final touch step to the existing All DSP process: All DSP + Final Touch in SSP.*



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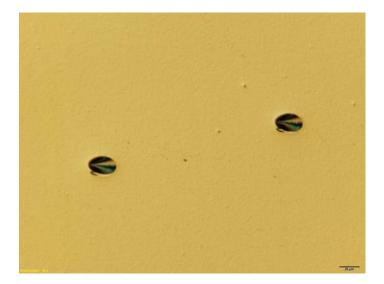
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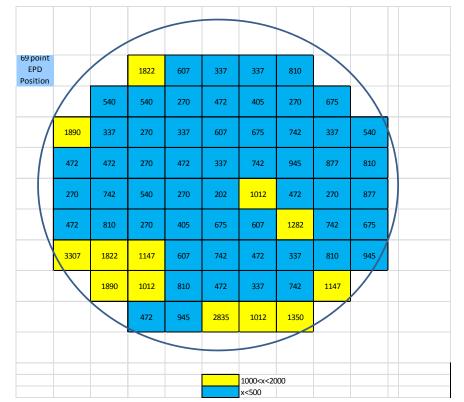
# **IEB025TN** Wafer Evaluation: Dislocation Etch Pit Density

- *Lower dislocation EPD is observed on Te doped wafers*
- Most area has EPD < 1,000 /cm<sup>2</sup> vs. 3,000-4,000/cm<sup>2</sup> on the undoped IET grown • wafers



Avg EPD = 754 /cm<sup>2</sup> over the entire 4" area

4" Wafer: IEB025TN-8 69-Point EPD Map

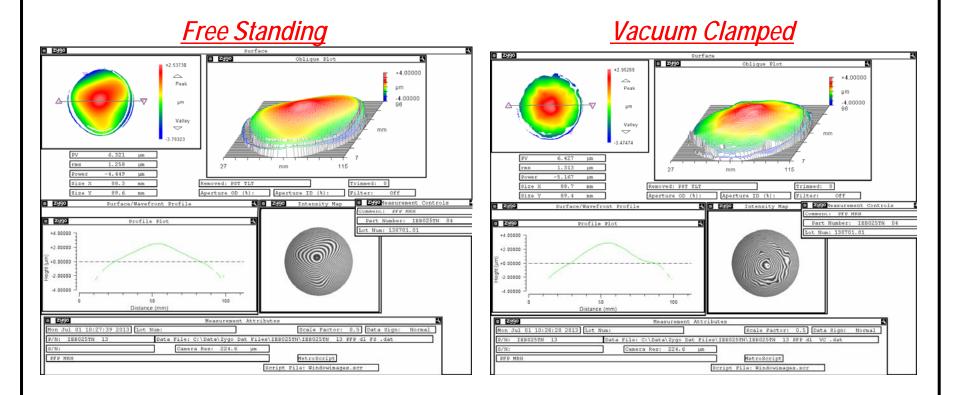


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## Zygo Flatness Mapping of 4" Epi-ready GaSb Wafer (IEB025TN-13)

Convex free standing surface with PV =  $6.327 \mu m$ , similar to the vacuum clamped surface with PV =  $6.421 \mu m$ 



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# FT-IR Transmission of n-doped 4" GaSb Substrate

- *Demonstrate transparency beyond 10 µm wavelength*
- Good transmission uniformity

Transmission spectra across wafer at room temp 50 Map at 8 µm wavelength 31 40 25 Transmittance (%) 30.3 <u>24</u> 27.7 24.3 31 30 24.2 <u>28</u> 20 Mean:27.3% 10 Std: 3% 0 5 15 20 10 Wavelength (um) www.intelliepi.com Intelligent Epitaxy Technology, Inc.

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Wafer ID: IEB027TN-22 Diameter: 4" Thickness: 650 µm

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# Summary

- Space qualified experience: QWIP epi for FPA on NASA-TIRS instrument for LDCM satellite (launched 2/2013)
- Significant progress made with SLS epi materials
  - -Successful FPA prototypes demonstration: LW up to 1kx1k format, and 320x256 MW/LW dual band
  - -Comprehensive in-house large area device processing and characterization capability for SLS detector
- Epi-ready GaSb substrate commercially available for 2", 3", and 4" sizes



# Intelli*EPI:* Supplier Awards





IntelliEPI Win First Supplier Award from Skyworks Solutions \* 2/10/2011

Richardson, TX -- IntelliEPI, the world's leading MBE epiwafers producer of pseudomorphic high mobility transistor (pHEMT) wafers for smart phones and other mobile devices, announced today that it received 2010 Supplier Award from Skyworks Solutions, Inc. The award was presented to IntelliEPI during Skyworks' Supplier Day Conference, held January 11 in Newport Beach, Ca., for the supply of pHEMT epi-wafers to Skyworks' Woburn, Ma. wafer fab. This is IntelliEPI's first award in this category.

\* IntelliEPI won Supplier awards from Vitesse Semiconductor from 01 to 04.

Intelli*EPI 2013, 2014* Supplier Award from Agilent technologies, Inc. for excellent supply of Production and R&D Product 11/20/2013, 3/13/2015

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